

As of February 28th 2011 the below file layout will be used

Regulation SHO Monthly Short Sale Transaction File Layout

MarketCenter|Symbol|Date|Time|ShortType|Size|Price|LinkIndicator

Field Name	Attribute	Description
MarketCenter	1 alpha character	Reporting Facility identifier. Values are : <u>N=NYSE TRF</u> Q = NASDAQ TRF Carteret B = NASDAQ TRF Chicago D = ADF
Symbol	Up to 14 characters	Security symbol
Date	8 numeric characters	Date trade was reported to the tape YYYYMMDD
Time	8 alpha numeric characters	Reported time of the trade HH:MM:SS (in ET, military time)
ShortType	1 alpha character	Exempt flag. Values are: E = Short Exempt S = Short Not Exempt
Size	Numeric value, no decimals	Number of shares reported in the trade, shall not include decimals
Price	Alpha numeric value, including decimals	Price reported on the trade, as it was disseminated. Can include more than one decimal.
LinkIndicator	1 alpha character	All records shall contain a <blank> in this column.

*The first row of every file shall contain a header with the column names described above. The last row of every file shall be a trailer denoting the number of records produced for the file.

File layout below in effect up until February 28th 2011

Regulation SHO Monthly Short Sale Transaction File Layout

MarketCenter|Symbol|Date|Time|ShortType|Size|Price|LinkIndicator

Field Name	Attribute	Description
MarketCenter	1 alpha character	Reporting Facility identifier. Values are : <u>N=NYSE TRF</u> Q = NASDAQ TRF Carteret B = NASDAQ TRF Chicago D = ADF
Symbol	Up to 14 characters	Security symbol
Date	8 numeric characters	Date trade was reported to the tape YYYYMMDD
Time	8 alpha numeric characters	Reported time of the trade HH:MM:SS (in ET, military time)
ShortType	1 alpha character	All records shall reflect the value "S"
Size	Numeric value, no decimals	Number of shares reported in the trade, shall not include decimals
Price	Alpha numeric value, including decimals	Price reported on the trade, as it was disseminated. Can include more than one decimal.
LinkIndicator	1 alpha character	All records shall contain a <blank> in this column.

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